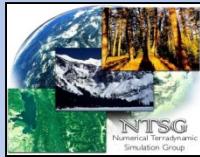


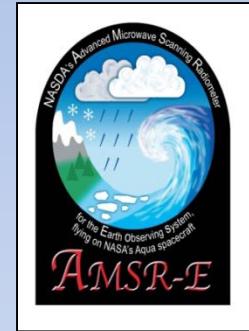
# The UMT AMSR-E Land Parameter Database Version 1.1

*and*

## Planned Updates



Lucas A. Jones



Numerical Terradynamic Simulation Group, College of Forestry and Conservation  
and Flathead Lake Biological Station, Division of Biological Sciences, The  
University of Montana

Collaborators: John Kimball, Yonghong Yi, Youngwook Kim & Matt Jones (UMT);  
Kyle McDonald, Eni Njoku & Steven Chan (JPL); Rolf Reichle (GSFC); Rama  
Nemani (NASA Ames); Craig Ferguson & Eric Wood (Princeton).

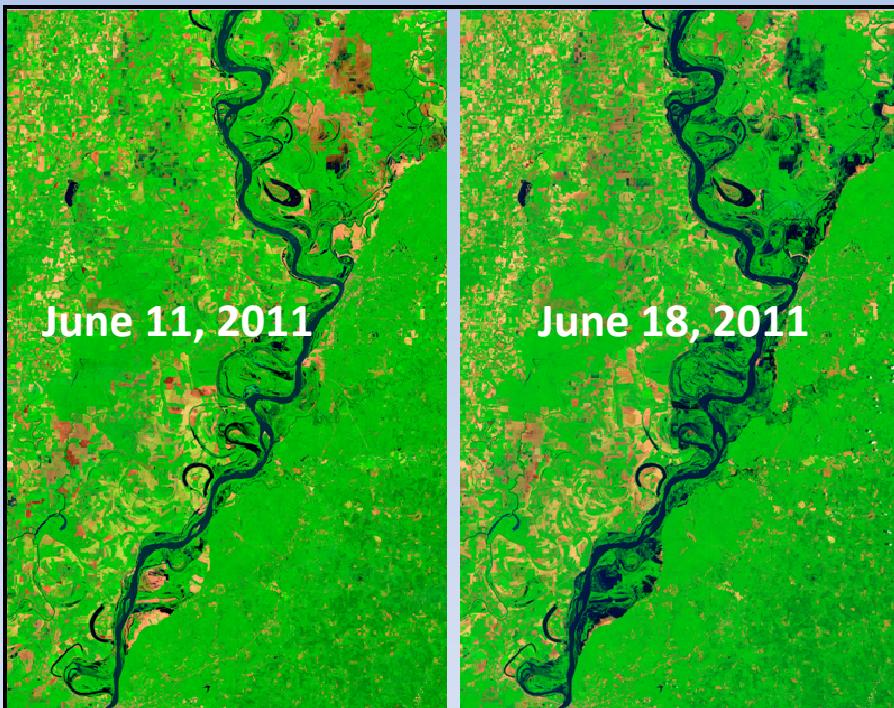
# Motivation

- Land surface and ecosystem studies critically need independent datasets to complement reanalysis and optical-IR datasets.
- Few microwave land temperature and moisture datasets explicitly consider seasonally varying fractional open water (wetlands, watercourses, irrigation, flooding).
- Scientifically interesting land surface and ecological problems occur in regions with much open water (e.g. the Arctic).
- It matters greatly to ecosystems (and to people) if they are flooded or merely wet.

# Examples

## Recent Flooding:

Mississippi River near Vicksburg



NASA Earth Observatory image created by Jesse Allen and Robert Simmon, using Landsat data provided by the USGS.

## Ecosystem-Climate Interactions:

26 OCTOBER 2007 VOL 318 SCIENCE

### Amazon Forests Green-Up During 2005 Drought

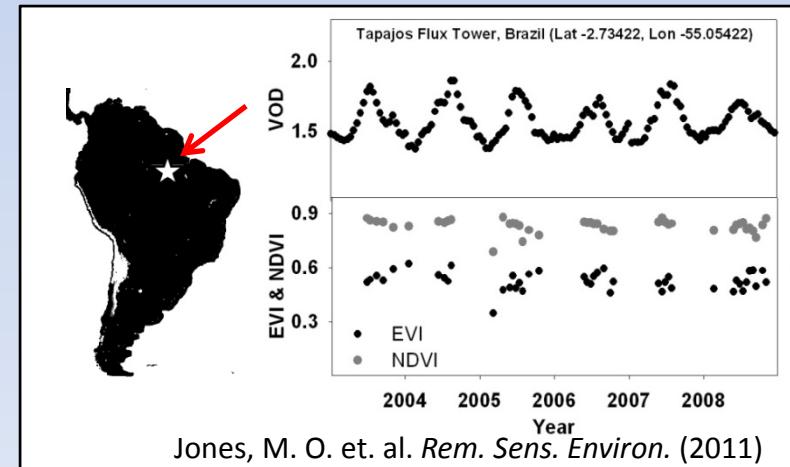
Scott R. Saleska,<sup>1,\*†</sup> Kamel Didan,<sup>2,\*</sup> Alfredo R. Huete,<sup>2</sup> Humberto R. da Rocha<sup>3</sup>

GEOPHYSICAL RESEARCH LETTERS, VOL. 37, L05401, doi:10.1029/2009GL042154, 2010

#### Amazon forests did not green-up during the 2005 drought

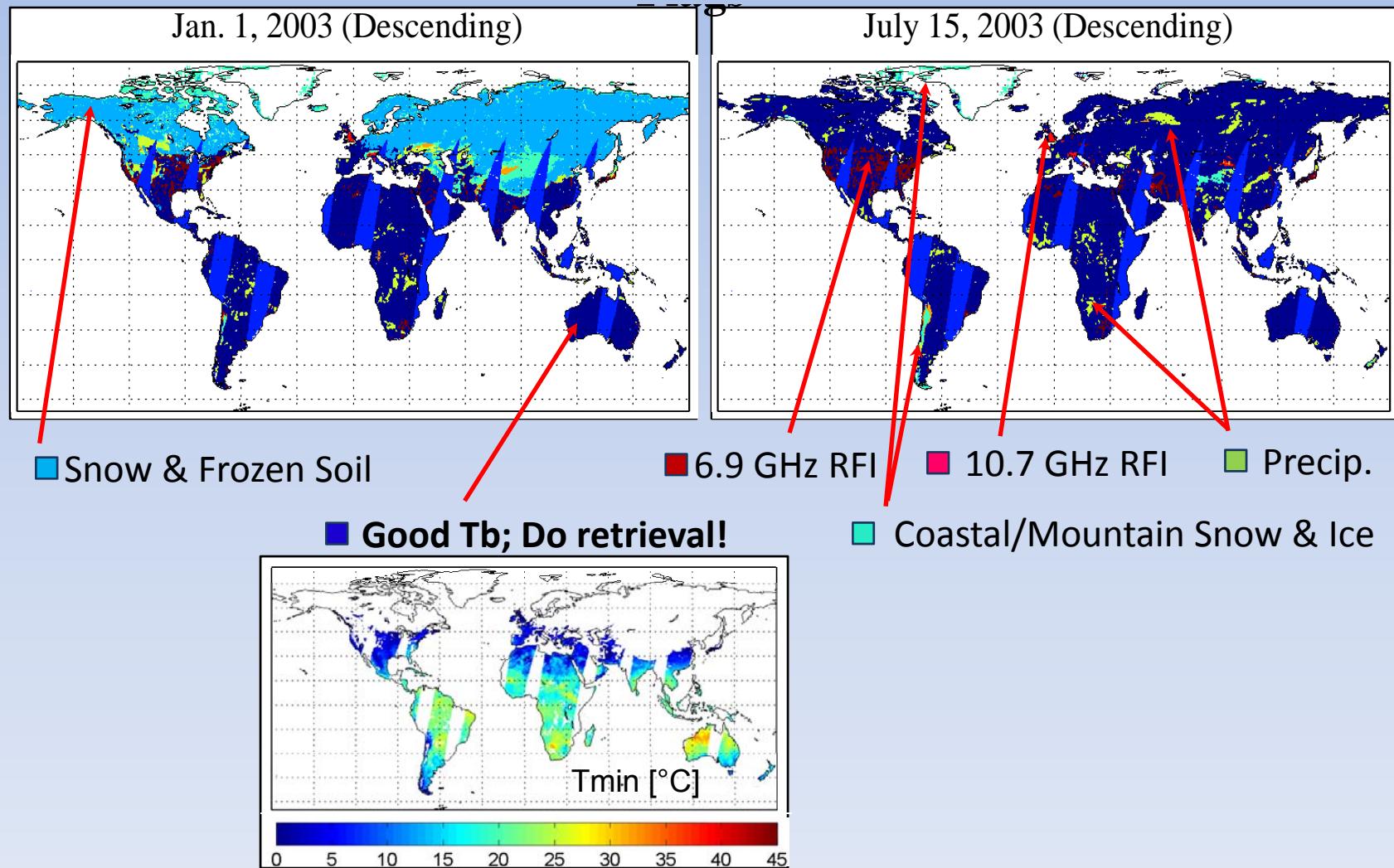
Arindam Samanta,<sup>1</sup> Sangram Ganguly,<sup>2</sup> Hirofumi Hashimoto,<sup>3</sup> Sadashiva Devadiga,<sup>4</sup> Eric Vermote,<sup>5</sup> Yuri Knyazikhin,<sup>1</sup> Ramakrishna R. Nemani,<sup>6</sup> and Ranga B. Myneni<sup>1</sup>

Received 11 December 2009; accepted 26 January 2010; published 5 March 2010.



Jones, M. O. et. al. *Rem. Sens. Environ.* (2011)

# Tb Input Pre-Screening



# Temperature/Emissivity/Water Vapor Algorithm

Frequencies used:

- 18.7, 23.8 GHz (V, H pol.)

Parameters retrieved:

- Surface Air temperature ( $T_{min}, T_{max}$ )
- Total Column Atmospheric Water vapor ( $V$ )
- Open Water Fraction ( $fw$ )
- Vegetation Optical Depth ( $VOD(18,23)$ )

## Steps:

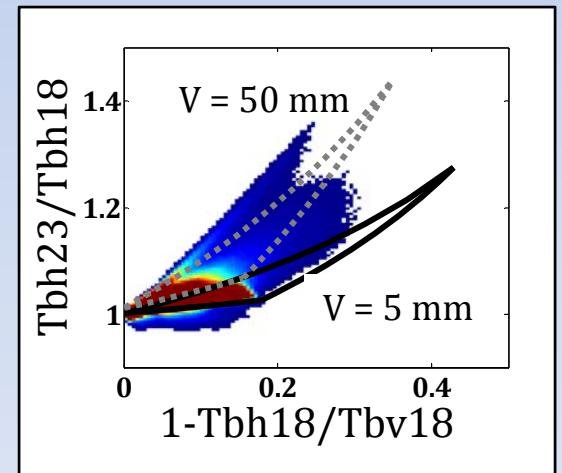
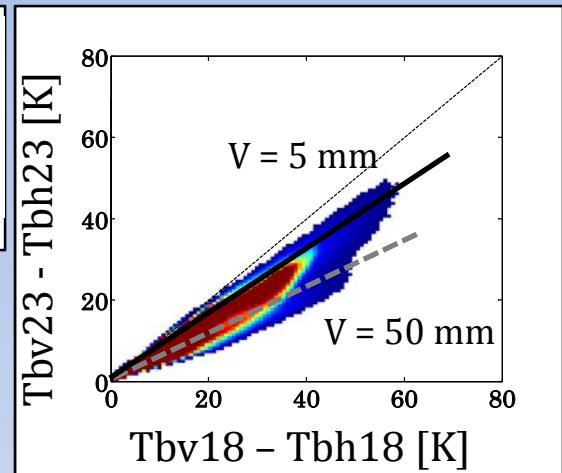
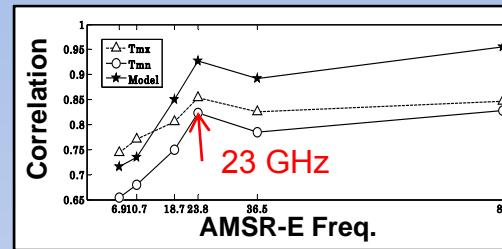
1. Iterate using Tb ratios to estimate  $V, fw, VOD$ :

$$MAWVI = \frac{Tb_{v23} - Tb_{h23} + \beta_1}{Tb_{v18} - Tb_{h18} + \beta_2} \approx B \left( \frac{ta_{23}}{ta_{18}} \right)^2$$

$$B = \frac{\varepsilon_{v23} - \varepsilon_{h23}}{\varepsilon_{v18} - \varepsilon_{h18}} = f(fw, VOD) \quad Tm = Ts * dm$$

2. Then invert Tb model:

$$Ts = f(Tb, V, fw, VOD)$$



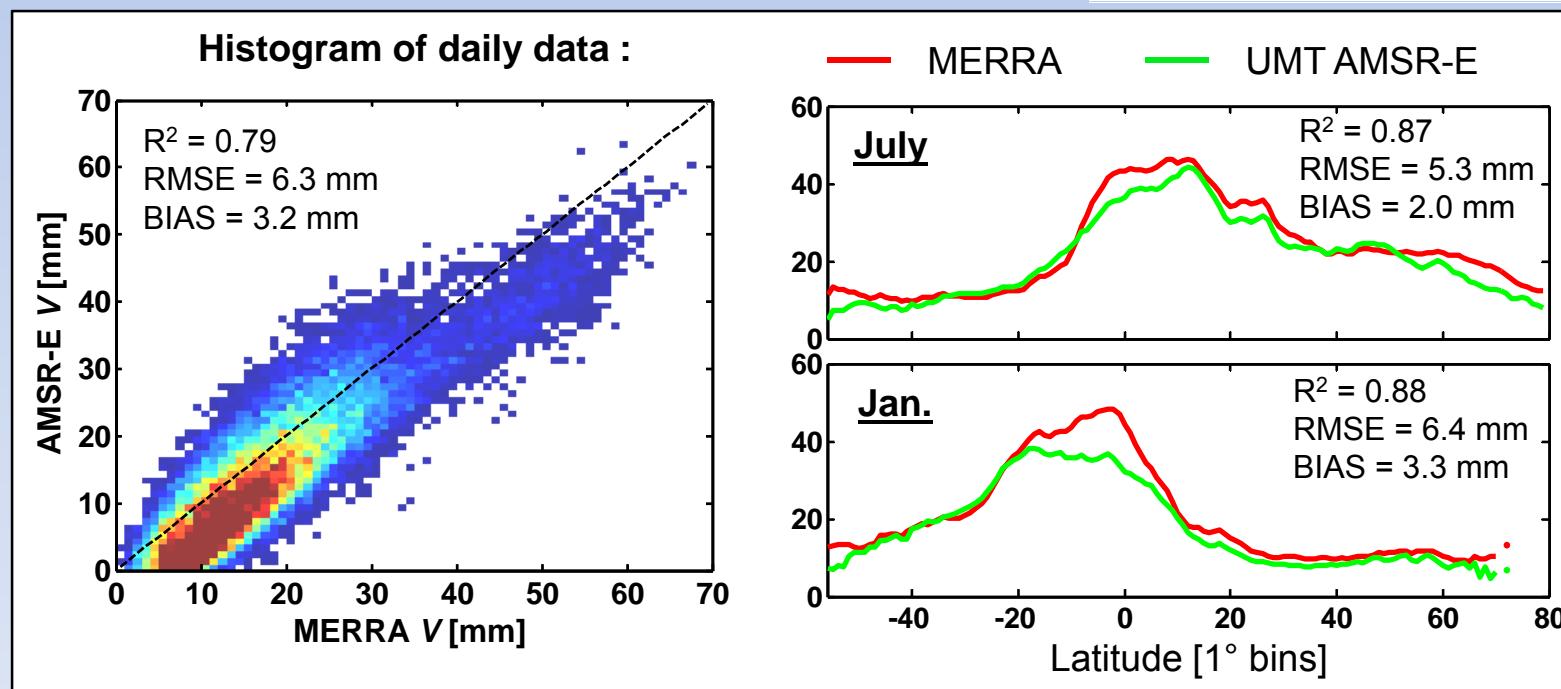
# Verification of Water Vapor Accuracy

Some results for AMSU and SSMI/S TPW for comparison<sup>1</sup>:

$$MAWVI = \frac{Tb_{v23} - Tb_{h23} + \beta_1}{Tb_{v18} - Tb_{h18} + \beta_2}$$

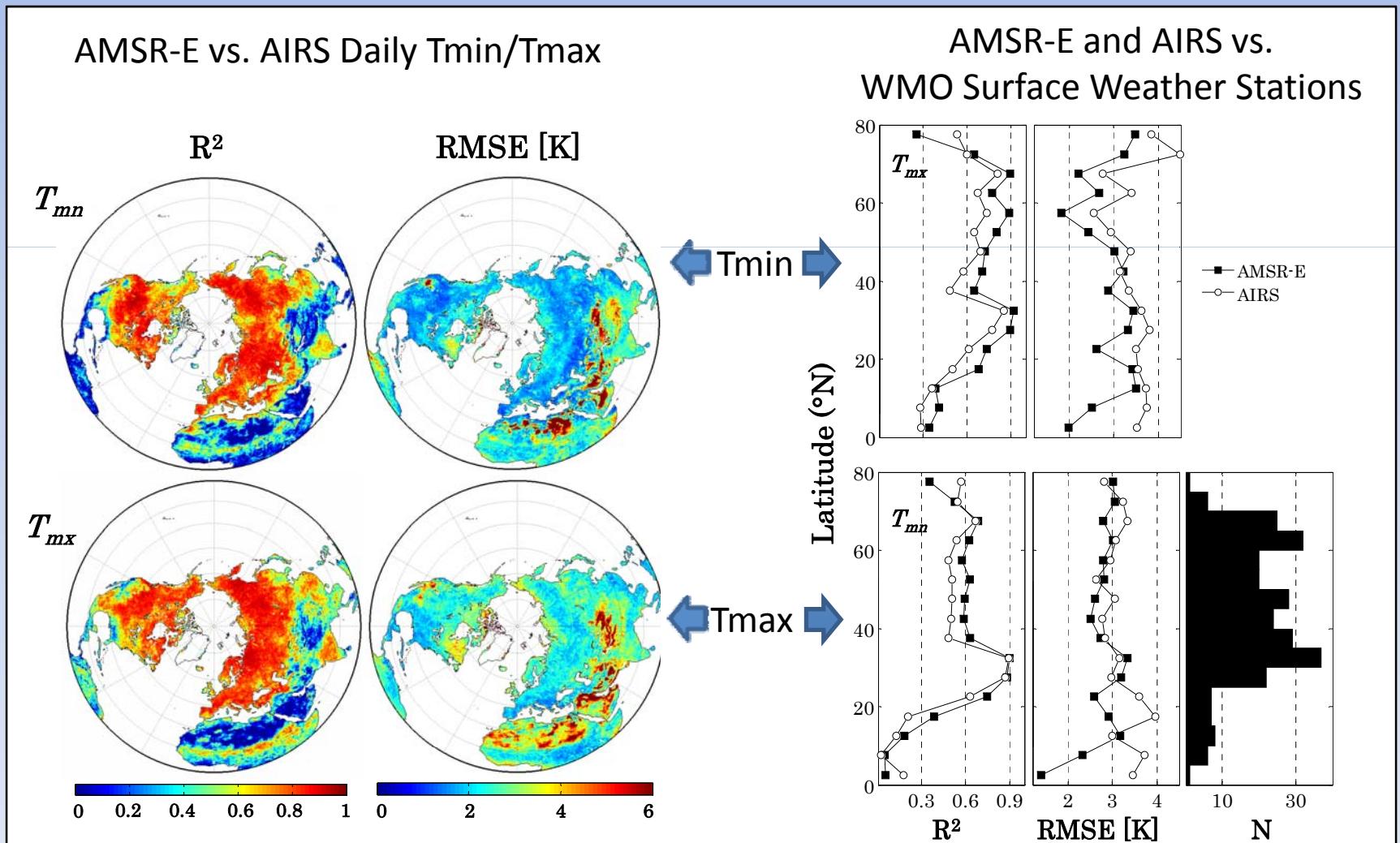
Small for dense vegetation  
(heavily forested regions)

	RAOB	ECMWF
R <sup>2</sup>	0.85	0.96
RMSE	5.0	3.2
BIAS	2.0	0.5



<sup>1</sup>Boukabara, S.A., et. al., TGRS 2010

# Verification of Temperature Accuracy



# Soil Moisture Algorithm

## Input Data:

- 6.9 or 10.7 GHz (V, H pol.)
- Temperature, Open Water fraction

## Parameters retrieved:

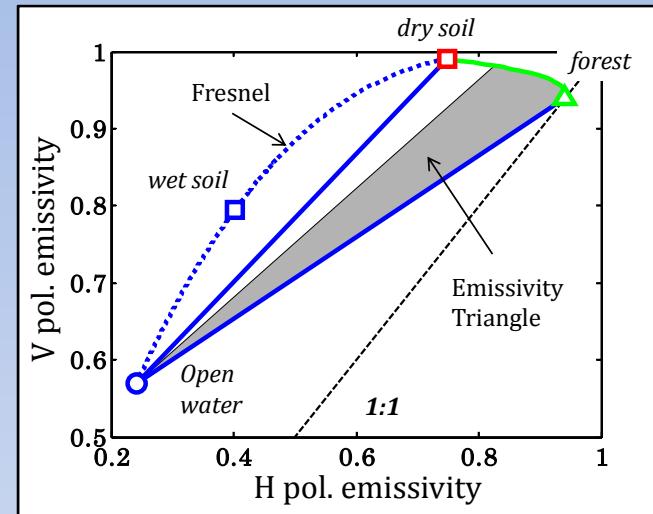
- Soil Moisture (*SM*)
- Vegetation Optical Depth (*VOD(10,6)*)

## Steps:

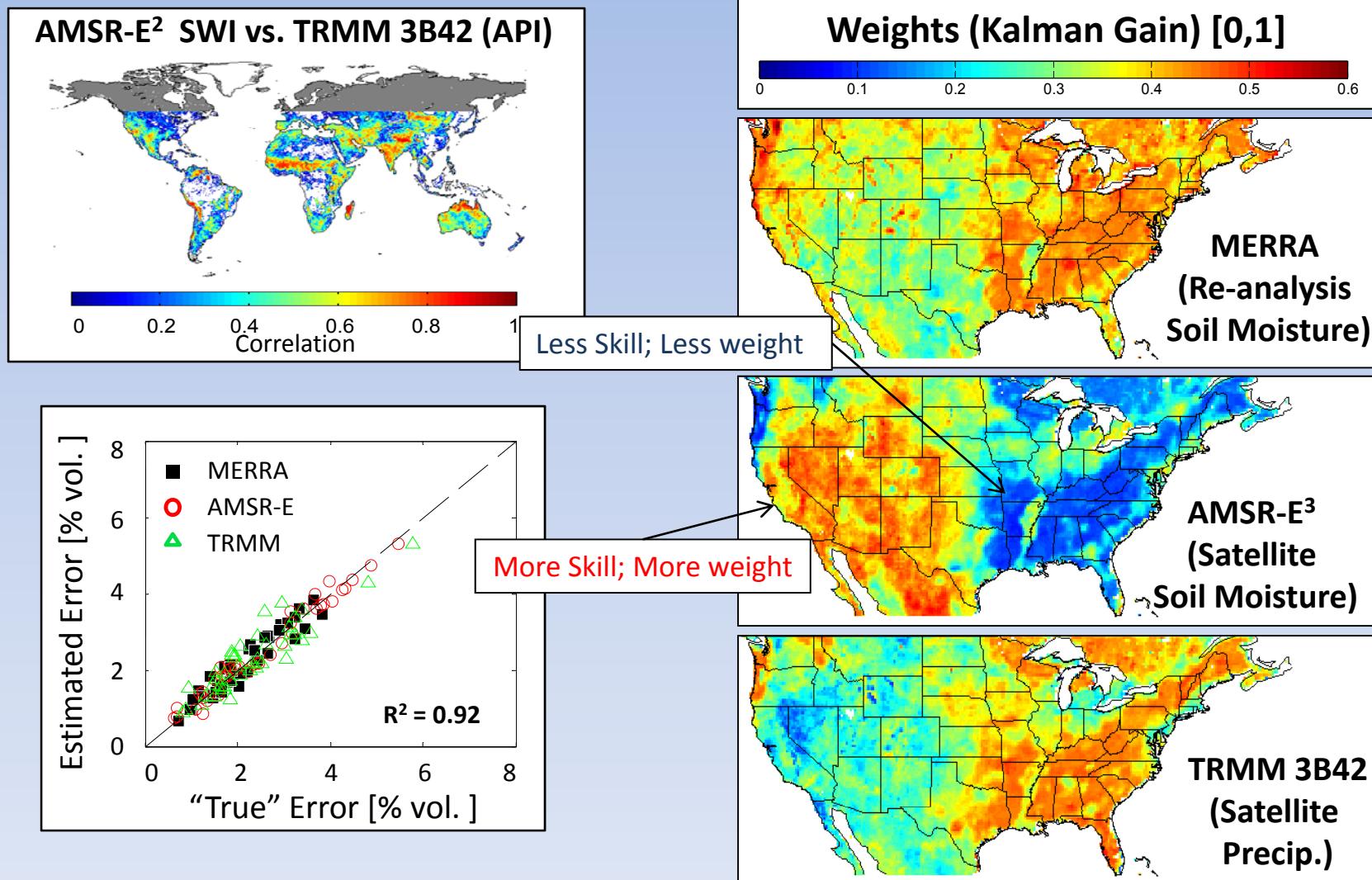
1. Estimate surface emissivities (v,h) using temperature input and calculate their slope (*a*) relative to open water emissivity :

$$a = \frac{\epsilon s_v - \epsilon w_v}{\epsilon s_h - \epsilon w_h}$$

2. Smooth slope and fw (30-day moving median) and use to invert  $\tau-\omega$  equation for VOD (soil emissivity held at constant baseline value).
3. Use VOD, fw, and temperature to invert daily  $\tau-\omega$  equation for daily soil moisture change above the baseline.



# Soil Moisture Information for Daily Anomalies<sup>1</sup>

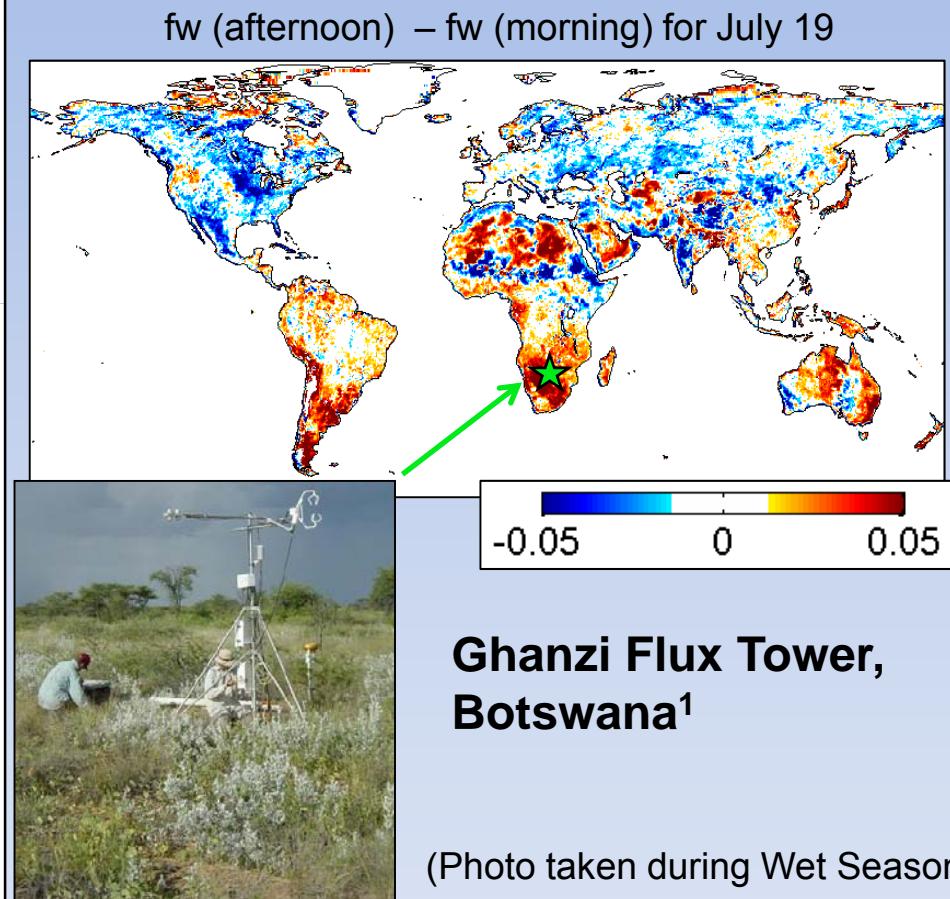
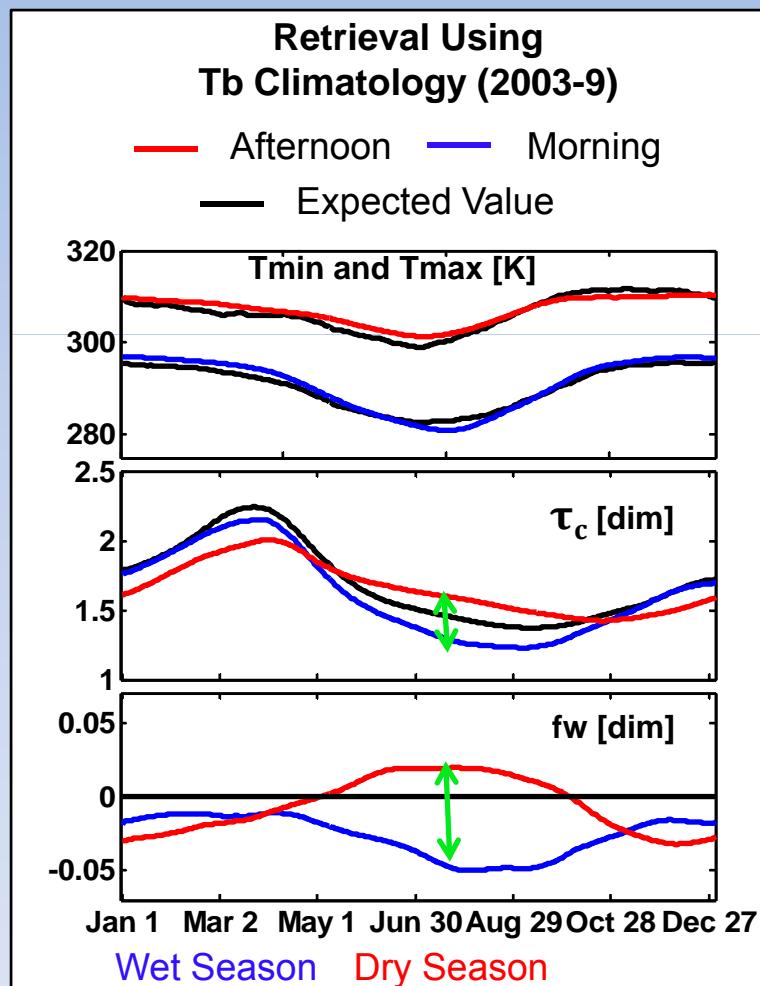


<sup>1</sup>Jones, L. A. et al. (in prep.); <sup>2</sup>UMT C/X-band soil moisture; <sup>3</sup>Vienna University X-band soil moisture



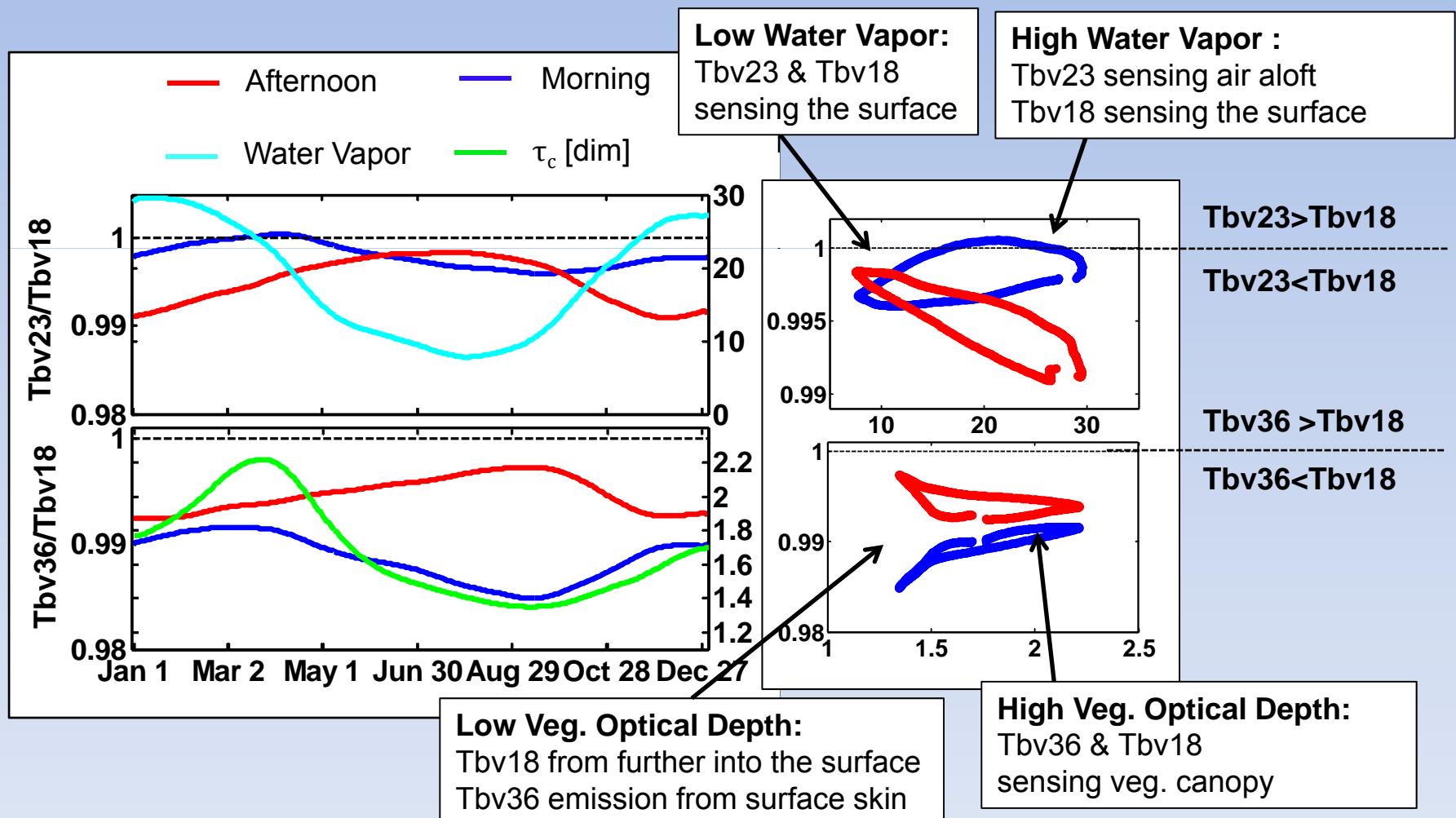
Now for the DARK SLIDE!

# Odd Patterns: Seek out and destroy...

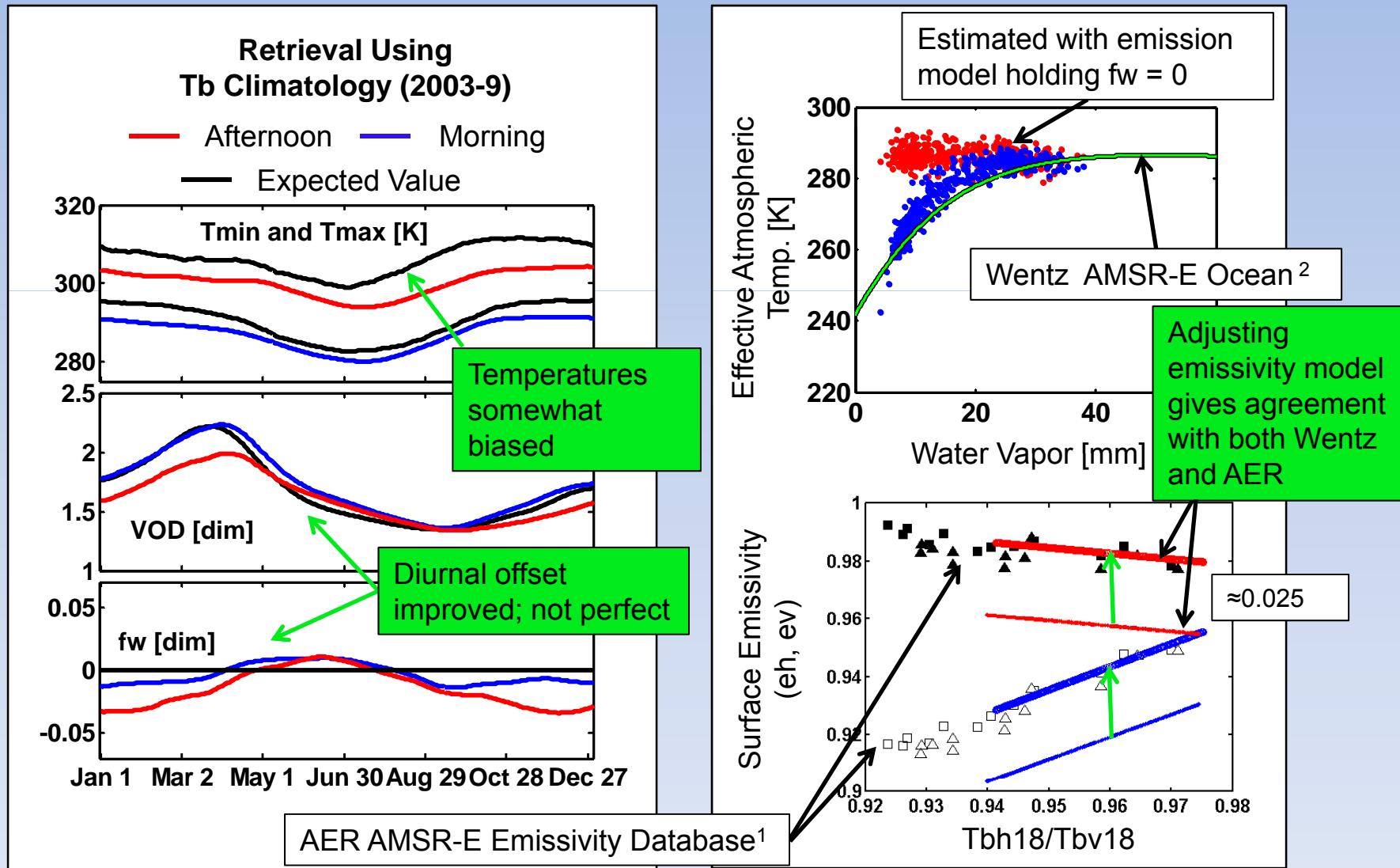


<sup>1</sup>PI: C. A. Williams (Clark University)

# Vertical Temperature Gradient

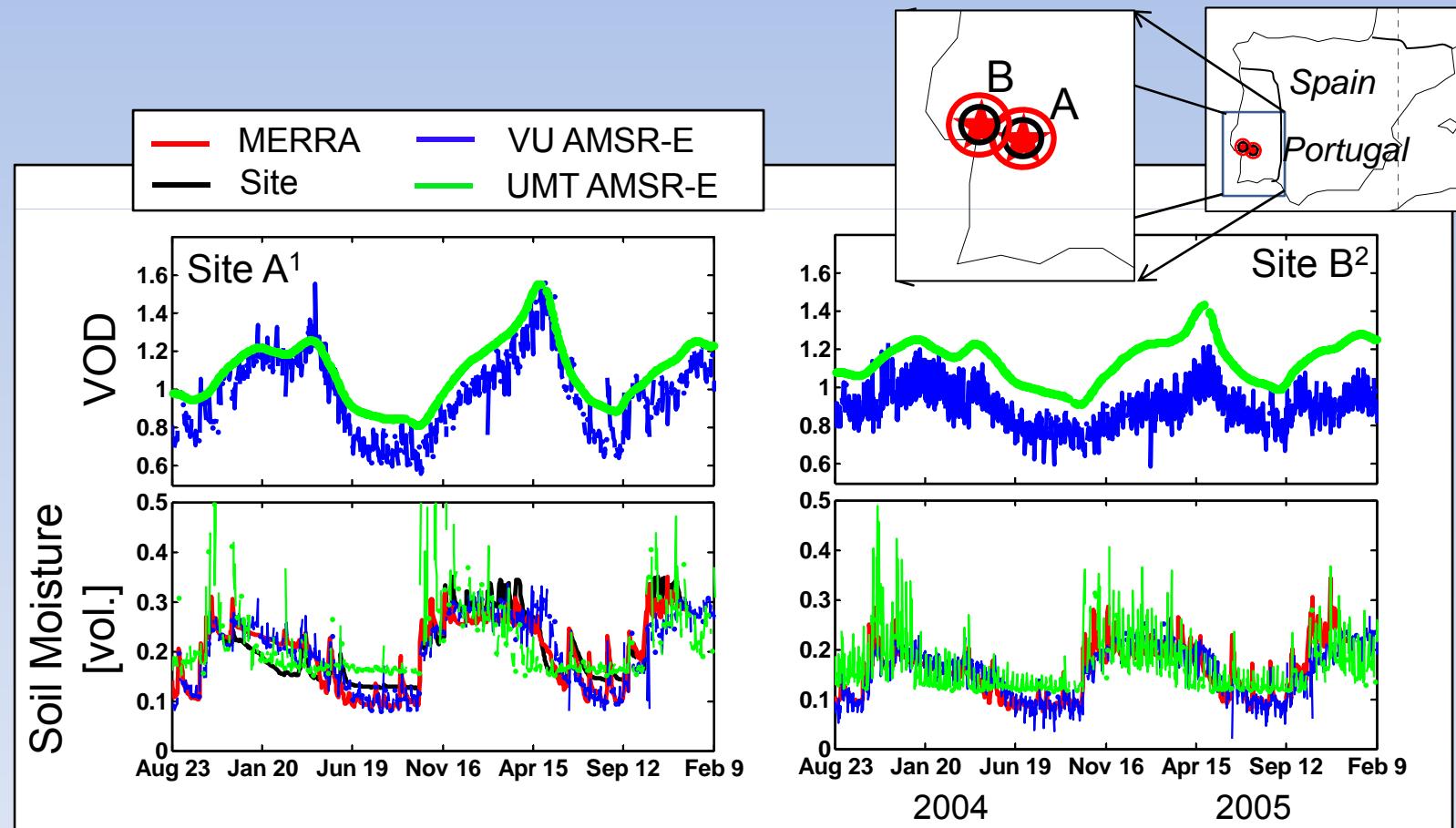


# Effective atmospheric Temperatures



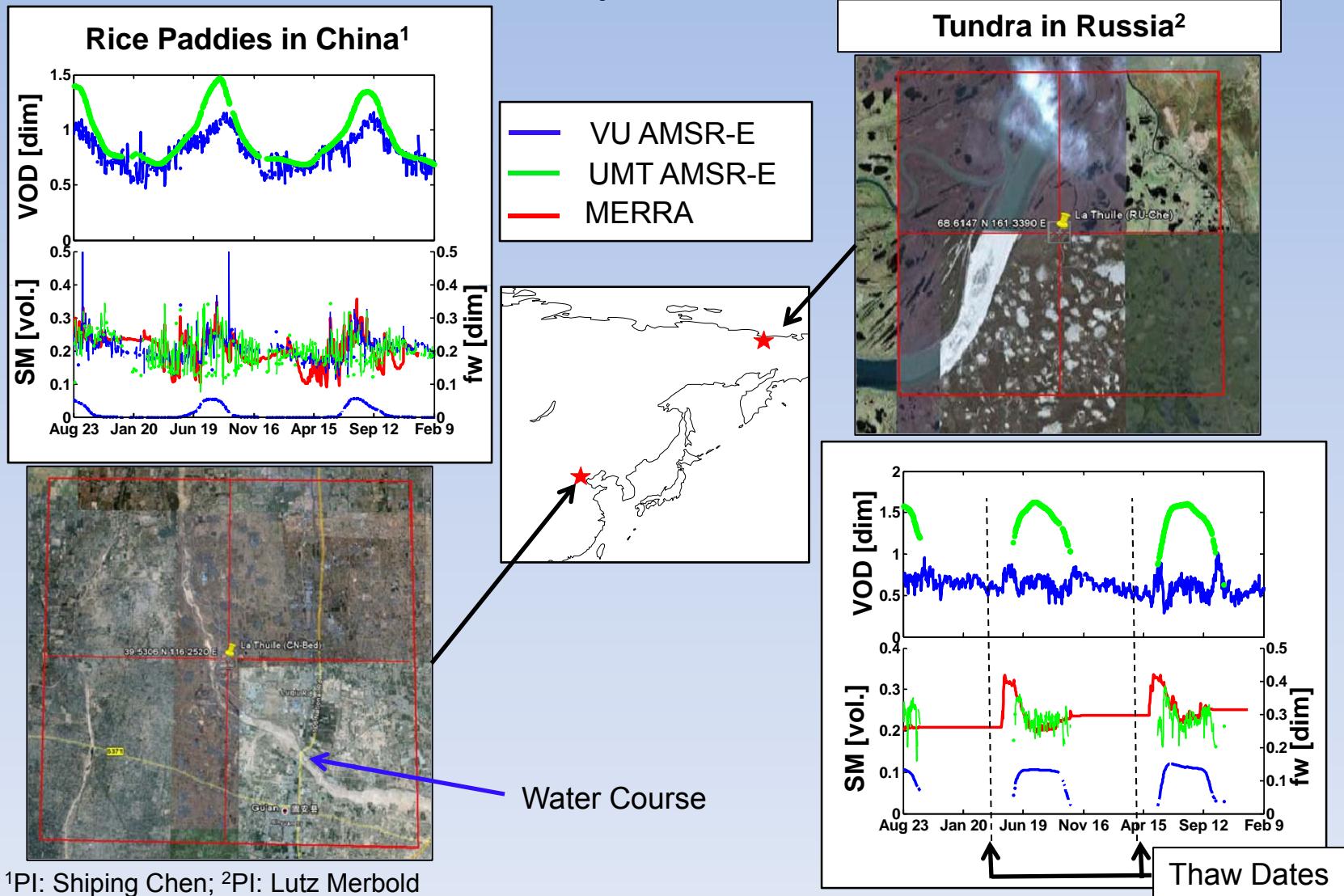
<sup>1</sup>Moncet, J. and P. Liang; <sup>2</sup>available at: [ssmi.com](http://ssmi.com)

# UMT (Grid) and VU Soil Moisture with Coastal Contamination



<sup>1</sup>PI: Joao Pereira; <sup>2</sup>PI: Casimiro Pio

# Adventures in Asia with Vegetation and Open Water



# Tying Down the Ends with Multiple Comparisons

## Ground-based:

- Eddy Covariance Flux Tower Network (Air temperature, Soil Moisture)
- WMO Surface Weather Stations (Air temperature)
- IRGA Radiosonde Database (Water Vapor, Air temperatures)

## Satellite-based:

- TRMM 3B42 (Soil Moisture)
- MODIS EVI/NDVI (VOD)
- SRTM/MOD44W (fw)
- AMSR-E Emissivity Database from AER (Moncet, Liang)
- AMSR-E VU land Parameter Database
- AIRS (Temperature and Water Vapor)

## Re-analysis:

- MERRA

# Summary of Planned Updates

## Completed and Ongoing:

- ❑ Verified and identified major areas for improvement in original algorithm.
- ❑ Re-formulated RTM and numerics for the future updates.

## Planned:

- ❑ Re-parameterize temperature/emissivity/water vapor algorithm using IRGA radiosonde network and external temperature/emissivity information.
- ❑ Update soil moisture algorithm for simultaneous VOD and SM estimation, using temperature and water fraction data as input.
- ❑ Run algorithm with L2A swath (rather than gridded) Tb data as input.

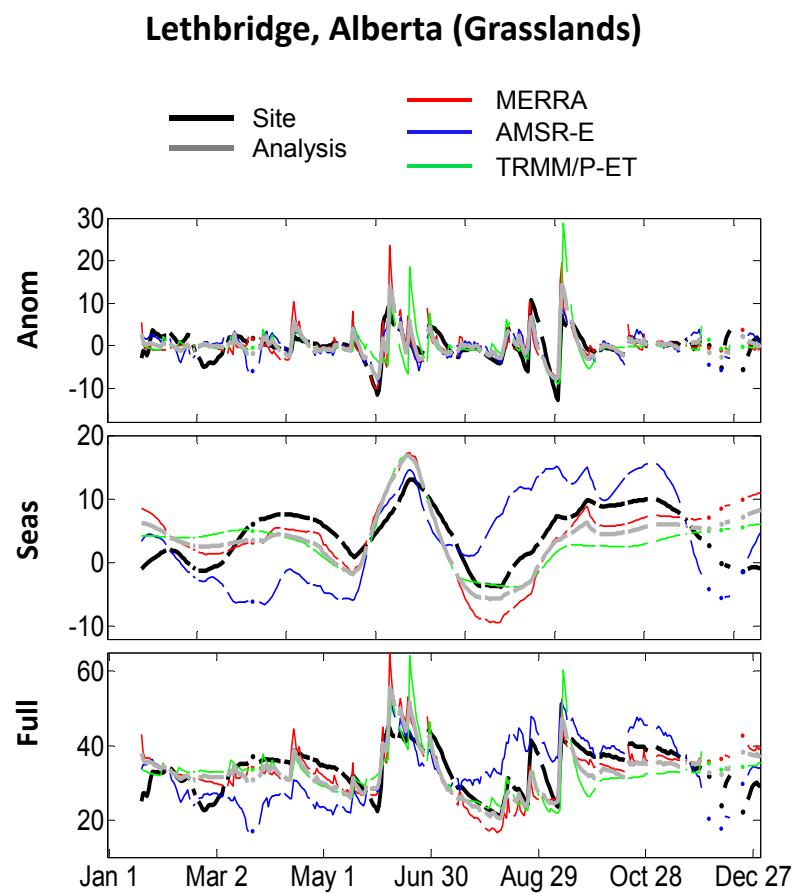
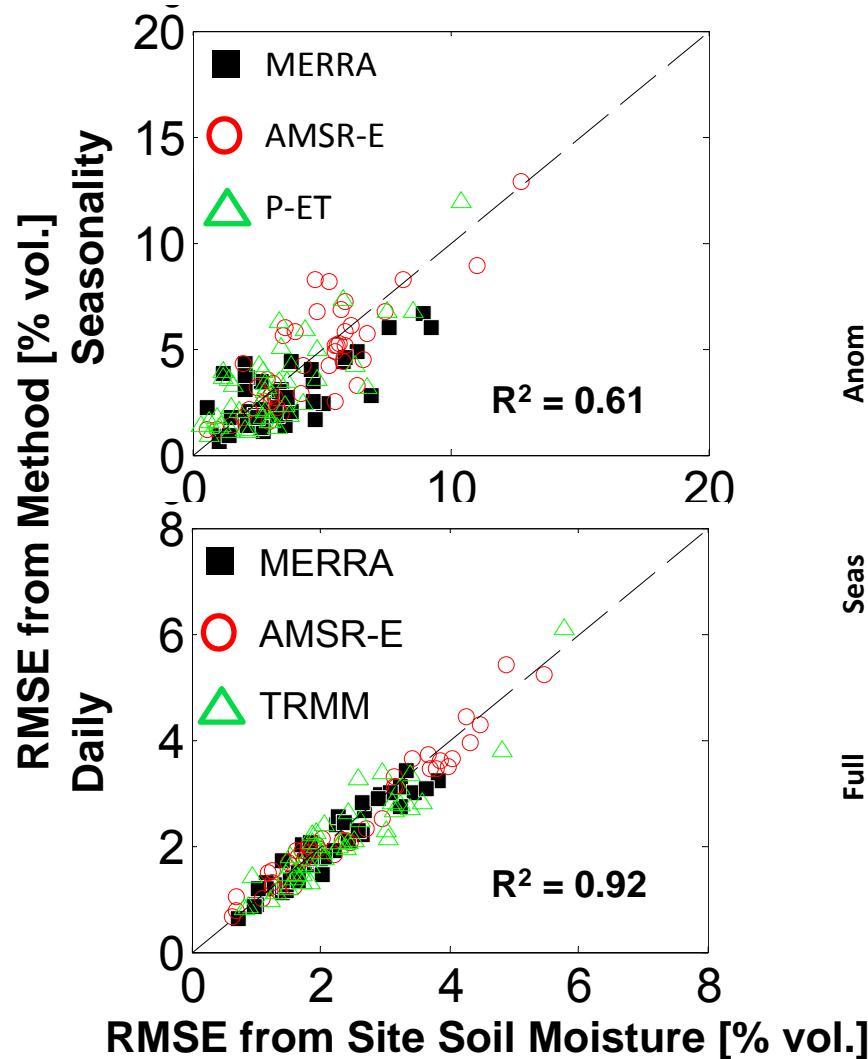
Current version of the data and documentation available at:

<http://nsidc.org/data/nsidc-0451.html>

Comments and requests email me: [lucas@ntsg.umt.edu](mailto:lucas@ntsg.umt.edu)

# **EXTRA SLIDES**

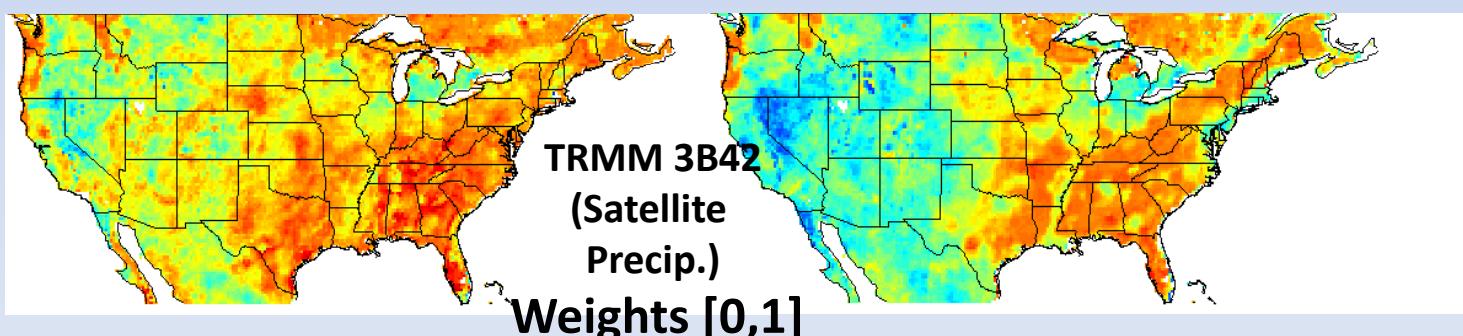
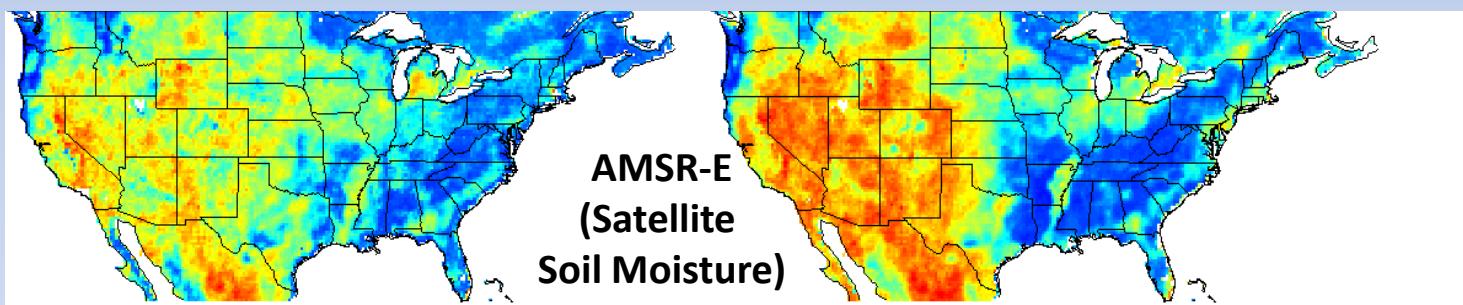
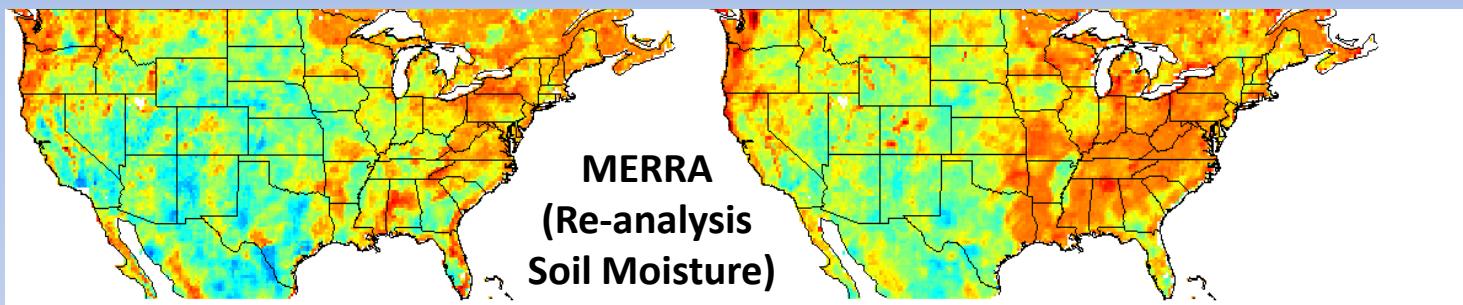
# Estimating Uncertainty with Data Assimilation



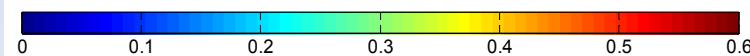
# Daily Anomaly Comparison

UMT

VU

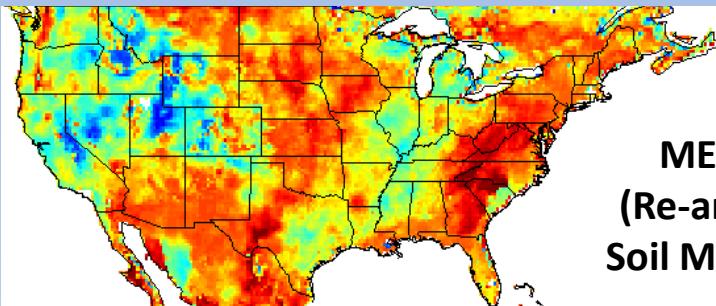


Weights [0,1]

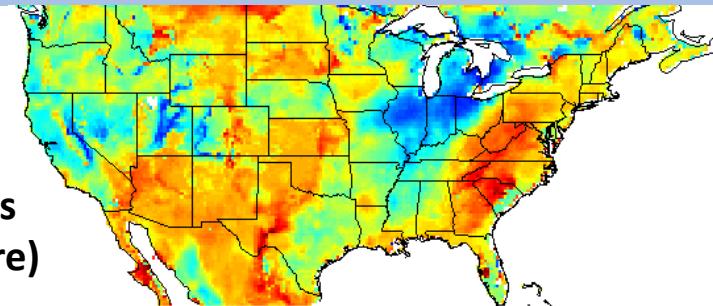


# Seasonal Anomaly Comparison

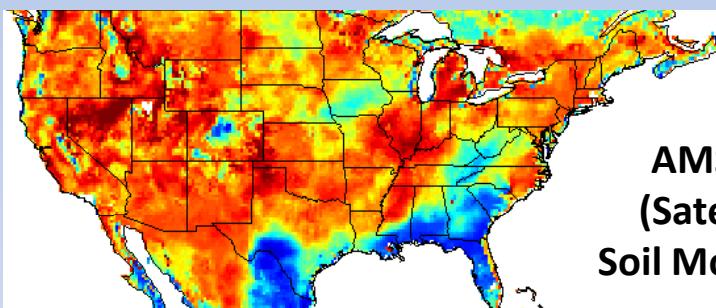
UMT



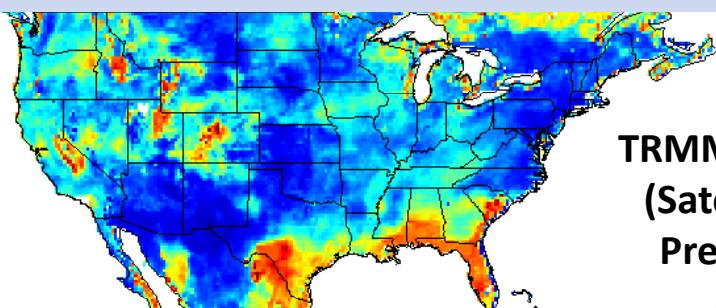
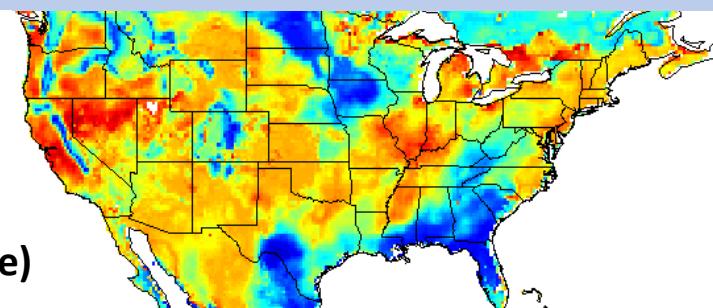
VU



MERRA  
(Re-analysis  
Soil Moisture)



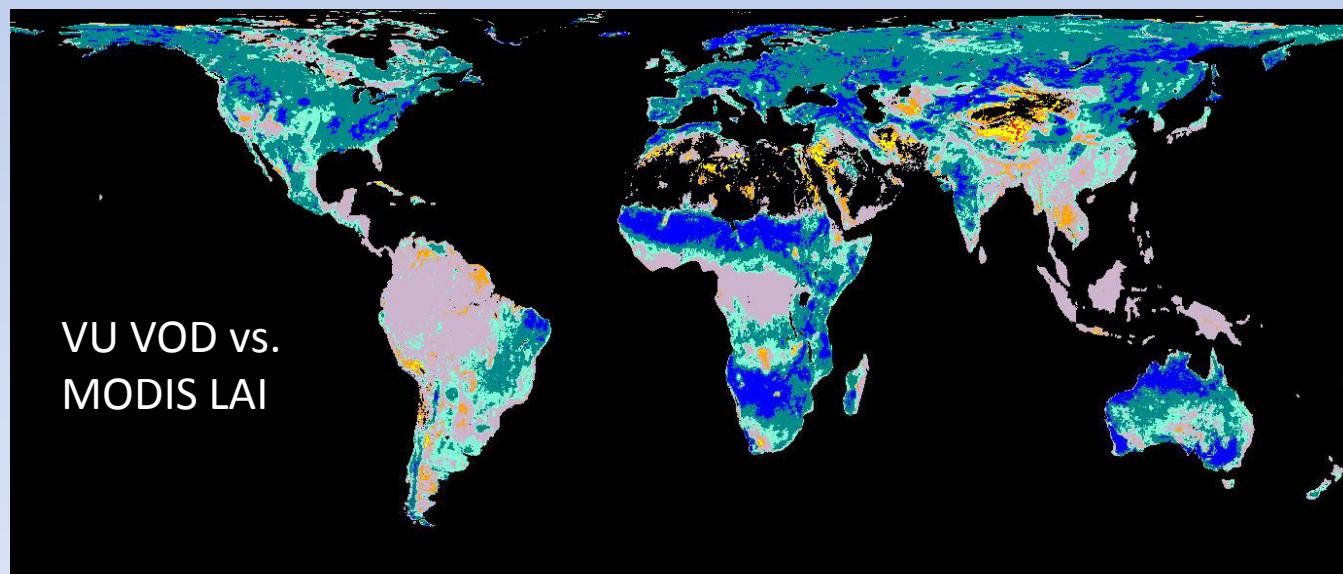
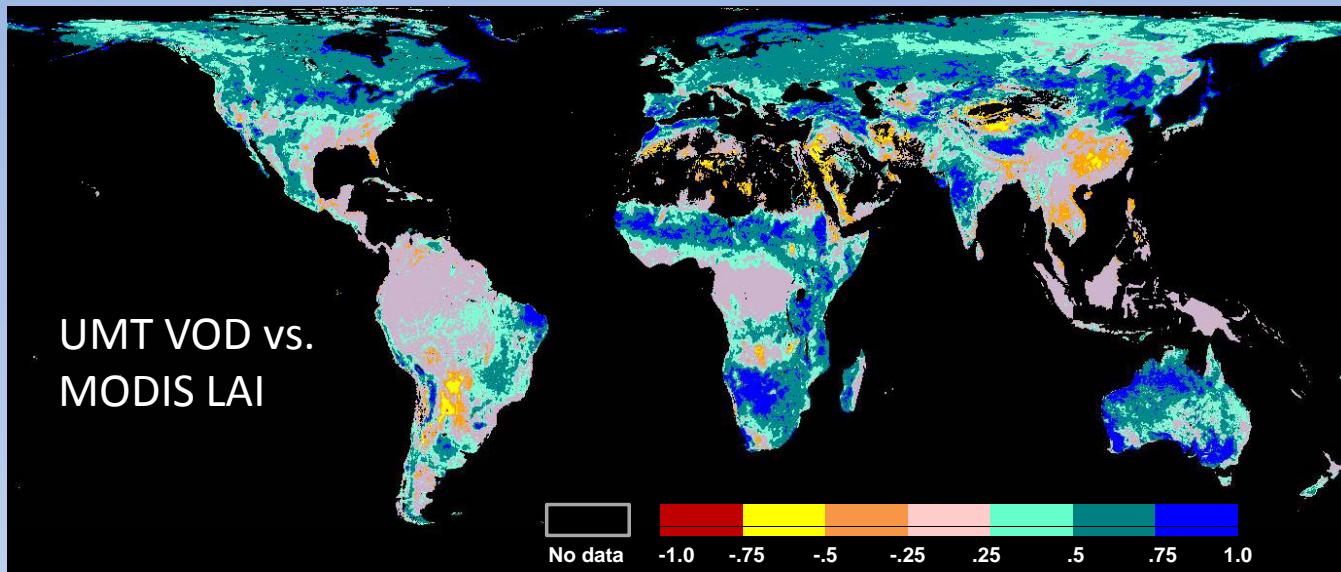
AMSR-E  
(Satellite  
Soil Moisture)



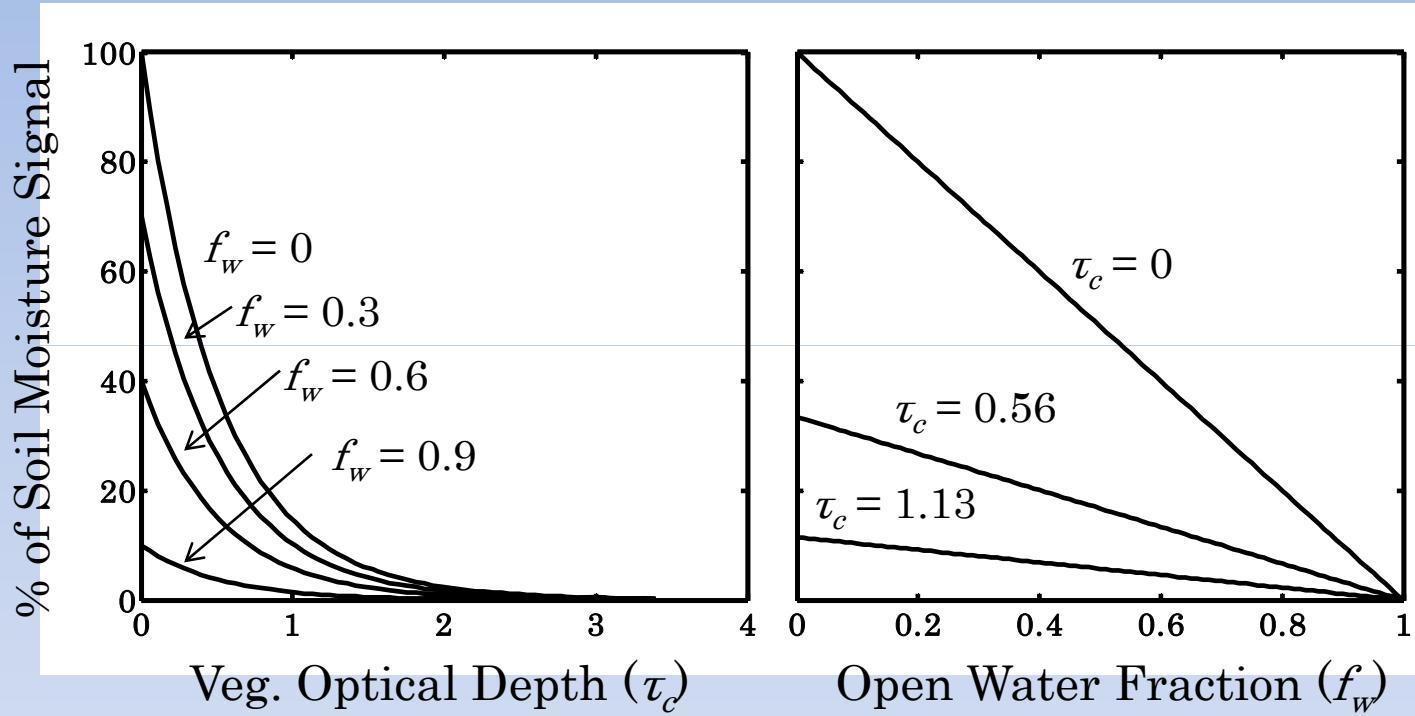
TRMM 3B42  
(Satellite  
Precip.)

Weights [0,1]





# Basis for separating land And water grid cell fractions



The soil moisture sensitivity range (10.7 GHz  $Tbh$  (0.05)- $Tbh$ (field capacity)) declines exponentially with increasing vegetation and only linearly with increasing open water.

# Basic RTE for Atmosphere and Surface

Brightness Temperature observed by AMSR-E:

$$Tb_{(f,p)} = ta_{(f)}Ts * \varepsilon_{(f,p)} + ta_{(f)}(1 - \epsilon_{(f,p)})(Tbd_{(f)} + ta_{(f)}Tc) + Tbu_{(f)}$$

Surface Emissivity:

$$\varepsilon_{(f,p)} = fw * \varepsilon w_{(f,p)} + (1 - fw)[(\varepsilon s_{(f,p)} - 1 + \omega_{(f,p)})tc_{(f)} + 1 - \omega_{(f)}]$$

Functional form for atmospheric contributions:

$$\begin{aligned} Tbd_f &= (1 - ta_{(f)})Tm & Tbu_f &= (1 - ta_{(f)})Tm * du \\ Tm &= \mathbf{F}(V) \quad \text{or} \quad Tm = Ts * dm \end{aligned}$$

Linear form if atmospheric contribution is specified:

$$\begin{aligned} Tb_{(f,p)} &= (ta_{(f)}Ts - Qd_{(f)}Tm)\varepsilon_{f,p} + (Qd_{(f)} + Qu_{(f)})Tm \\ Qd_{(f)} &= ta_{(f)}(1 - ta_f)(Tm + ta_{(f)}Tc) \\ Qu_{(f)} &= (1 - ta_f)Tm * du \end{aligned}$$

# Expressions for MAWVI and Atmospheric Opacity

$$MAWVI = \frac{Tb_{v23} - Tb_{h23} + \beta_1}{Tb_{v18} - Tb_{h18} + \beta_2}$$

$$ta_{(f)} = \exp\left[-\frac{a(f,O) + a(f,V)}{\cos(\theta)}\right]$$

$$V = \frac{\frac{1}{2} \log \left[ \frac{MAWVI}{B} \right] \cos(\theta) + ao_{23} - ao_{18}}{av_{18} - av_{23}}$$

$$B = \frac{\varepsilon_{v23} - \varepsilon_{h23}}{\varepsilon_{v18} - \varepsilon_{h18}}$$

# Algorithm Configurations

**Original:** Use  $T_m = T_s * d_m$ . Neglect reflections for atmosphere/surface and veg/soil. Use  $T_{bh}/T_{bv}$ ,  $T_{bh23}/T_{bv18}$ , and MAWVI. Iterate to find  $V$ , invert surface expression for  $t_c/fw$  analytically in each step. Calculate  $T_s$  given  $V/t_c/fw$ .

1. **Use  $T_m = T_s * d_m$ :** Use  $T_{bh}/T_{bv}$ ,  $T_{bv23}/T_{bv18}$ ,  $T_{bh23}/T_{bh18}$ , and MAWVI. Solve for  $V$  using regression. Invert surface expression for  $t_c/fw$  using specified  $V$  (linear). Calculate  $T_s$  given  $V/t_c/fw$ .
2. **Use  $T_m = F(V)$ :** Use  $T_{bh}, T_{bv}$  and MAWVI. Solve for  $V$  using regression. Invert surface expression for  $T_s/t_c/fw$  using specified  $V$  (linear).
3. **Solve for  $d_m$  (hold  $fw=0$ ):**  $T_{bh}/T_{bv}$ ,  $T_{bv23}/T_{bv18}$ ,  $T_{bh23}/T_{bh18}$ , and MAWVI. Solve for  $V$  using regression. Invert surface expression for  $t_c/dm$  using specified  $V$  (linear). Calculate  $T_s$  given  $V/tc/dm$ .

NOTE: Impossible to solve for both  $fw$  and  $d_m$ . All new configs. (1-3) can be used to estimate  $V$  (non-linearly) using MAWVI as long as  $T_m$  is properly specified.

# Algorithm Numerics

From Rodgers (1976)

**x** parameters (Ts,VOD, V, fw)

**y** measurements (Tb, Tb ratios, or  
re-arranged RT constant terms)

**x<sub>0</sub>** prior guess at parameters

**S<sub>x</sub>** covariance of parameters about prior

**S<sub>y</sub>** error Covariance of measurements

Linear form (do once):

$$\hat{\mathbf{y}} = \mathbf{Ax}$$

$$\mathbf{E} = \mathbf{y} - \mathbf{Ax}_0$$

$$\mathbf{K} = \mathbf{S_x A}' (\mathbf{A S_x A}' + \mathbf{S_y})^{-1}$$

Non-linear form (iterate):

$$(\hat{\mathbf{y}}_i, \mathbf{J}_i) = \mathbf{F}(\mathbf{x})$$

for  $i = 1, \dots, n$

$$\mathbf{E} = \mathbf{y} - \hat{\mathbf{y}}_i - \mathbf{J}_i(\mathbf{x}_0 - \mathbf{x}_i)$$

$$\mathbf{K}_i = \mathbf{S_x J}_i' (\mathbf{J}_i \mathbf{S_x J}_i' + \mathbf{S_y})^{-1}$$

$$\mathbf{x}_i = \mathbf{x}_0 + \mathbf{K}_i \mathbf{E}_i$$

# Why use a linear surface RTE?

Linear Model

$$\varepsilon_{(f,p)} = \varepsilon s_{(f,p)} t c_{(f)} + (1 - t c_{(f)}) (1 - \omega_{(f)})$$

Tau-omega (quadratic) Model

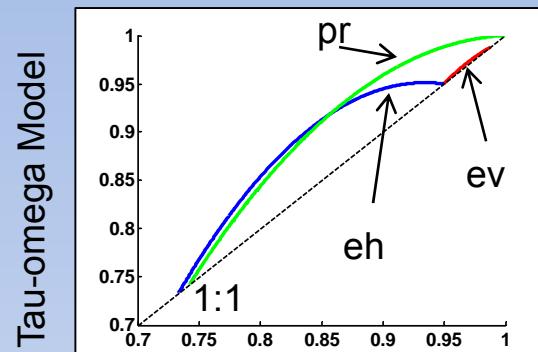
$$\varepsilon_{(f,p)} = \varepsilon s_{(f,p)} t c_{(f)} + (1 - t c_{(f)}) (1 - \omega_{(f)}) [1 + (1 - \varepsilon s_{(f,p)} t c_{(f)})]$$

- Linear easier to deal with analytically.
- Tau-omega can have local minimums in cost function space (frequency ratios are no longer simple monotonic functions of  $t c$ ), posing problems for iterative algorithms.
- Linear might be a better fit for both surface roughness and vegetation.
- No obvious advantage to using tau-omega suggested by the emissivity data.

# Why use a linear surface RTE? (cont.)

All LC Types ( $fw < 0.05$ ):

— Linear Model — Tau-omega Model



Linear Model

